Integrating retrospective impact evaluations with microsimulation models to forecast the effects of social protection

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- 1. The importance of **Social Protection in an era of multiple global** crises
- 2. The impact of Cash Transfers on HIV/AIDS, TB and other outcomes: evidence from retrospective impact evaluations using Big Longitudinal Data at the aggregate and individual level in Brazil
- **3. The integration of retrospective evaluation with microsimulation** to forecast **the mitigation effects of Social Protection during crises**
- 4. The Brazilian Platform for Health Projections (ProBraS)

# **Why Social Protection Now?**

- The pandemic <u>pushed back 120 million people into extreme poverty, totaling</u> <u>more than 700 million individuals, the war in Ukraine expected to push other 70</u> <u>more million into severe poverty (UNDP, 2022; UN, 2021)</u>
- <u>We are in an era of multiple global crises</u>, expected to threaten the achievement of several SDGs in the majority of LMIC (UN, 2023)
- We need, more than ever, Social Protection for the most vulnerable.



Figure 2



# Evidence From Retrospective Health Impact Evaluations of Social Protection

# **Creating Datasets in Social Epidemiology**

- **Social epidemiology** is a branch of epidemiology that studies the impact social conditions and social interventions have on population health outcomes.
- Very few epidemiological and clinical studies collect an adequate number of socioeconomic and social protection-related variables
- Social Epidemiology often relies on linkage of socioeconomic datasets (from socioeconomic surveys/admin data), policies/intervention datasets, and health datasets (from health surveys/admin registries):
- a) Aggregate level: usually deterministic linkages with area codes
- b) **Individual level:** usually probabilistic linkages using names and other personal identifiers
- In Brazil aggregate-level linkages allowed to create panel data of municipalities over the last two decades, representing all the Brazilian population.
- **Individual-level linkages** have been developed using social registries of the **poorest half of the population**, linked to health data (the 100mi Brazilian Cohort)

### The impact of CCT on HIV/AIDS: aggregate-level studies

THE LANCET



### Lancet HIV 2022; 9: e690-99



# Effect of a conditional cash transfer programme on AIDS incidence, hospitalisations, and mortality in Brazil: a longitudinal ecological study

Gabriel Alves de Sampaio Morais, Laio Magno, Andrea F Silva, Nathalia S Guimarães, José Alejandro Ordoñez, Luís Eugênio Souza, James Macinko, Inês Dourado, Davide Rasella

	AIDS incidence				AIDS hospitalis	5 hospitalisations AIDS			AIDS mortality	5 mortality		
	Unadjusted incidence rate ratio (95% CI)	p value	Adjusted incidence rate ratio (95% CI)	p value	Unadjusted incidence rate ratio (95% CI)	p value	Adjusted incidence rate ratio (95% CI)	p value	Unadjusted incidence rate ratio (95% CI)	p value	Adjusted incidence rate ratio (95% CI)	p value
BFP target populatio	on coverage		$\frown$				$\bigcirc$				$\bigcirc$	
Low (0-29%)	1 (ref)		1 (ref)		1 (ref)		1 (ref)		1 (ref)		1 (ref)	
Intermediate (30–69%)	0·971 (0·931–1·012)	0.16	0·950 (0·911–0·991)	0.018	0·756 (0·707-0·808)	<0.0001	0-861 (0-800-0-926)	0.0001	0·964 (0·900–1·032)	0.29	0·905 (0·841–0·974)	0.0074
High (≥70%)	0·967 (0·928–1·008)	0.11	0·949 (0·909-0·991)	0.018	0·708 (0·663–0·756)	<0.0001	0·857 (0·795-0·923)	<0.0001	0·900 (0·842–0·962)	0.0020	0·880 (0·816–0·948)	0.0008
Table 2: Fixed-effect n	egative binomia	I models	of the associatio	n between f	3FP coverage and	AIDS incid	lence, hospitalisa	ations, and n	nortality in Brazil	2004-18		

### The impact of CCT on HIV/AIDS: individual-level studies using big data

### The effect of a conditional cash transfer program on AIDS morbidity and mortality among the poorest: a cohort study of 22.7 million individuals in Brazil



Silva A, Dourado I, Lua I,....,Rasella D.

**Table 2.** Estimates of the average effect of the *Programa Bolsa Família* (PBF) adjusted Poisson model (with robust standard errors) on AIDS incidence, mortality, and the case-fatality rate, 2007-2015.

A directed Medel		RR <sup>a</sup> (95%CI <sup>b</sup> )					
Adjusted Model	Incidence	Mortality	Case-Fatality				
PBF	$(0.59^{***}(0.57 - 0.61))$	(0.61*** (0.57-0.64))	0.75*** (0.66-0.85)				
Race or ethnicity							
White	1 (base)	1 (base)	1 (base)				
Mixed-race	1.24*** (1.19-1.29)	1.24*** (1.15-1.32)	1.07 (0.92-1.25)				
Black	1.66*** (1.57-1.75)	1.78*** (1.64-1.94)	1.01 (0.83-1.22)				
Indigenous	1.36* (1.00-1.85)	0.89 (0.49-1.62)	0.57 (0.20-1.61)				
Education							
More than high school	1 (base)	1 (base)	1 (base)				
High school	1.04 (0.91-1.18)	1.45** (1.07-1.94)	1.95* (1.06-3.58)				
Elementary school	1.40*** (1.23-1.60)	2.61*** (1.96-3.49)	2.77** (1.53-5.02)				
Literate, attended pre-school	0.91 (0.72-1.15)	1.20 (0.75-1.91)	1.00 (0.35-2.78)				
Illiterate, never attended school	1.28*** (1.11-1.47)	2.74*** (2.03-3.70)	3.62*** (1.95-6.74)				
Other Adjusting Variables							

### The impact of CCT on Tuberculosis: aggregate-level studies

INT J TUBERC LUNG DIS 21(7):790-796 © 2017 The Union http://dx.doi.org/10.5588/ijtld.16.0599

# Effect of Brazil's conditional cash transfer programme on tuberculosis incidence

J. S. Nery,\* L. C. Rodrigues,<sup>†</sup> D. Rasella,\* R. Aquino,\* D. Barreira,<sup>‡</sup> A. W. Torrens,<sup>‡</sup> D. Boccia,<sup>†</sup> G. O. Penna,<sup>§</sup> M. L. F. Penna,<sup>¶</sup> M. L. Barreto,\* S. M. Pereira\*

 Table 3
 Association between the incidence rate ratio of TB and BFP coverage, Brazil, 2004–2012

	TB incidence rate					
	Models with no tim	ne variable (adjusted) 95%CI)	Models with (adji IRR (9	time variable usted) 95%CI)		
BFP coverage target population Low (0.0–29.9%) Intermediate (30–69.9%) High (≥70%)	1 0.95 0.88	(0.92–0.98) (0.85–0.91)	1 0.99 0.96	(0.96–1.02) (0.93–0.99)		
FHP coverage 1st tertile (≥0 and <49.8%) 2nd tertile (≥49.8% and <88.25%) 3rd tertile (≥88.25%)	1 0.99 1.01	(0.97–1.01) (0.99–1.04)	1 1.01 1.05	(1.00–1.03) (1.03–1.08)		
Illiteracy rate ≥10% and <20% ≥20%	1.08 1.20	(1.05–1.12) (1.14–1.26)	1.05 1.14	(1.02–1.08) (1.09–1.20)		
Percentage of poor people in the municipality ≥24.88% Number of observations Number of municipalities	1.11 22 122 2 458	(1.08–1.13)	1.07 22 122 2 458	(1.05–1.10)		

IRR = incidence rate ratio; TB = tuberculosis; BFP = Bolsa Família Programme; CI = confidence interval; FHP = Family Health Programme

### The impact of CCT on Tuberculosis: individual-level studies using big data

### **Impact of a conditional cash transfer program on tuberculosis incidence, mortality, and case fatality-rate in a cohort of 54 million poor individuals** *Jesus J, Pinto P, Silva A, Boccia D,.....,Rasella D.*

**Table 2**. Estimates of the average effect of the Bolsa Família Program (BFP) adjusted Poisson model (with robust standard errors) on Tuberculosis incidence, mortality and case-fatality rate, Brazil, 2004-2015.

Adjusted Model	Outcomes (RR <sup>a</sup> – CI <sup>b</sup> 95%)					
Aujusteu Model	Incidence	Mortality	Case-Fatality			
PBF	0.57*** (0.55-0.60)	0.69*** (0.65-0.73)	0.90 (0.76-1.05)			
Race or ethnicity						
White	1 (base)	1 (base)	1 (base)			
Mixed-race	1.42*** (1.40-1.44)	1.70*** (1.60-1.81)	1.02 (0.86-1.21)			
Black	1.11*** (1.00-1.22)	1.38*** 0.90-1.13	3.30* (1.28-8.51)			
Indigenous	3.63 *** (3.43-3.84)	4.50*** (3.59-5.65)	1.58* (0.92-2.70)			
Education						
Illiterate, never attended school	1 (base)	1 (base)	1 (base)			
Elementary school	1.83 (1.80-1.86)	1.05*** (0.99-1.11)	0.94 (0.79-1.12)			
High school	2.21*** (2.17-2.25)	0.99*** (1.93-1.06)	0.77** (0.62-0.94)			
More than high school	1.74*** (1.70-1.77)	0.60*** (0.54-0.67)	0.52*** (0.37-0.73)			

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Other Adjusting Variables..

### The impact of Primary Health Care on Tuberculosis: individual-level studies using big data

# The effect of primary health care on tuberculosis in a nationwide cohort of 7.3 million Brazilian people: a quasi-experimental study



TB Modelling and Analysis Consortium

Gabriela S Jesus, Julia M Pescarini, Andrea F Silva, Ana Torrens, Wellington M Carvalho, Elzo P P Junior, Maria Y Ichihara, Mauricio L Barreto, Poliana Rebouças, James Macinko, Mauro Sanchez, Davide Rasella

	Incidence	Mortality	Cure rate	Case-fatality rate
FHS 100%	0.78 (0.72–0.84)	0.72 (0.55-0.94)	1.04 (1.00–1.08)	0.84 (0.55–1.30)
Observations	7 308 968	7308968	3379	5.368

Data are RR (95% CI), unless otherwise indicated. Reference variables were male sex, never attended education, White ethnicity or race, person by domicile ≤2, brick household material, family per capita income below the median (0.5 \$BR per month). FHS=Family Health Strategy. RR=rate ratio.

*Table* 3: Inverse probability of treatment weighting Poisson regression models, adjusted for all demographic and socioeconomic variables, for the association between tuberculosis outcomes and FHS coverage in the study cohort (Brazil, 2004–13)

### Several other studies have been developed with the same datasets and methodologies

# THE LANCET

Published Online May 15, 2013 http://dx.doi.org/10.1016/ 50140-6736(13)60715-1

### Effect of a conditional cash transfer programme on childhood mortality: a nationwide analysis of Brazilian municipalities

Davide Rasella, Rosana Aquino, Carlos A T Santos, Rômulo Paes-Sousa, Mauricio L Barreto



BMJ 2014;348:q4014 doi: 10.1136/bmj.q4014 (Published 3 July 2014)

### Impact of primary health care on mortality from heart and cerebrovascular diseases in Brazil: a nationwide analysis of longitudinal data

Davide Rasella postdoctoral researcher<sup>1</sup>, Michael O Harhay PhD student<sup>3</sup>, Marina L Pamponet researcher<sup>1</sup>, Rosana Aquino associate professor<sup>12</sup>, Mauricio L Barreto professor<sup>12</sup>

#### Receillant of 2000 Public Health 2010, 10:380 http://www.biometicentrel.com/1471-3458/10/360 BMC Public Health RESEARCH ARTICLE Open Access Impact of the Family Health Program on the quality Salvador, Brazil **KEY WORDS** of vital information and reduction of child unattended deaths in Brazil: an ecological longitudinal study

Reducing Childhood Mortality From Diarrhea and Lower Respiratory Tract Infections in Brazil



Rasella et al. BMC Medicine (2021) 19:127 https://doi.org/10.1186/s12916-021-01994-7

#### **RESEARCH ARTICLE**

By Thuras Hore, Davide Rasella, Marrido Barreto, Sifat Aton, Azeen Majeel, and Christopher Millett

Large Reductions In Amenable

Primary Care Expansion And

Strong Health Governance

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BMC Medicine

### **Open Access**



Long-term impact of a conditional cash transfer programme on maternal mortality: a nationwide analysis of Brazilian longitudinal data

Davide Rasella<sup>1\*†</sup>, Flávia Jôse Oliveira Alves<sup>2,3†</sup>, Poliana Rebouças<sup>2,3</sup>, Gabriela Santos de Jesus<sup>4</sup>, Maurício L. Barreto<sup>2,3</sup>, Tereza Campello<sup>3,5</sup> and Enny S. Paixao<sup>3,6</sup>



#### RESEARCH ARTICLE

Association between expansion of primary healthcare and racial inequalities in mortality Mortality Associated With Brazil's amenable to primary care in Brazil: A national longitudinal analysis

> Thomas Hone1\*, Davide Rasella2,3, Mauricio L. Barreto2,3, Azeem Majeed1, Christopher Millett<sup>1,4,5</sup>

#### Effect of Brazil's conditional cash transfer programme on INT J TUBERC LUNG DIS 21(7):790-796 http://dx.doi.org/10.5588/ijtld.16.0599 tuberculosis incidence

J. S. Nery,\* L. C. Rodrigues,\* D. Rasella,\* R. Aquino,\* D. Barreira,\* A. W. Torrens,\* D. Boccia,\* G. O. Penna,<sup>5</sup> M. L. F. Penna,<sup>1</sup> M. L. Barreto,\* S. M. Pereira\*

Davide Rasella\*, Rosana Aquino and Mauricio L Barreto

# Integrating Retrospective Impact Evaluations with Microsimulation Models for Health Projections



Brazilian Platform for Health Projections

## The Brazilian Platform for Health Projections



The Brazilian Platform for Health Projections (ProBraS) has been developed at the Institute of Collective Health by a multidisciplinary team: epidemiologist, mathematicians, statisticians, economists and IT specialists.

ProBraS is based on theoretical frameworks, algorithms, codes, and data to **integrate retrospective impact evaluations with dynamic multilevel microsimulation models for forecasting.** The integration allow to respect:

- 1) Structure of the **Datasets** (variables distributions, correlations, previous trends)
- 2) Structure of the **Effects** (heterogeneity, contextual, synergies, lag and duration effects)
- 3) Knowledge of the **retrospective evaluation Context** (limitations of the dataset, study design, analyses, intervention implementation, etc)

### ProBraS:

a) Consolidated datasets and validated models at the aggregate level of more than 5,000 municipalities in Brazil, and of more than 10,000 municipalities in Latin America over the last two decades.

b) **Developing codes for large individual-based microsimulation**, including a pilot algorithm to simulate 22 million individuals in Brazil.



# **The Brazilian Platform for Health Projections**



The ProBraS algorithms and codes have been developed to simulate:

- 1) Potential Changes in Socioeconomic and Demographic Scenarios (secular trends, shocks)
- 2) Alternative Policy Responses (Social Protection and Healthcare) and Policy Implementations (temporal, geographical, and population targeting options).

### Scenarios are compared in terms of:

- a) Rate Ratios of Morbidity and Mortality,
- b) Averted Cases, Hospitalizations, and Deaths
- c) Changes in Health Inequalities (Concentration Ind., Ratios/Differences betw. strata)

# An User-Friendly Open Access Dashboard of ProBraS for policy makers is under construction.

### Integrating Retrospective Impact Evaluations with Dynamic Microsimulation

#### ProBraS Brazilian Platform for Health Projections

# THE LANCET

Published Online May 15, 2013 http://dx.doi.org/10.1016/ \$0140-6736(13)60715-1

Effect of a conditional cash transfer programme on childhood mortality: a nationwide analysis of Brazilian municipalities

Davide Rasella, Rosana Aquino, Carlos A T Santos, Rômulo Paes-Sousa, Mauricio L Barreto



Figure: Mechanisms linking the Bolsa Familia Programme and the Family Health Programme to child nutritional and health outcomes



Child morbidity and mortality associated with alternative policy responses to the economic crisis in Brazil: A nationwide microsimulation study

Davide Rasella<sup>1,2</sup>\*, Sanjay Basu<sup>3,4,5,6</sup>, Thomas Hone<sup>2</sup>, Romulo Paes-Sousa<sup>7</sup>, Carlos Octávio Ocké-Reis<sup>8</sup>, Christopher Millett<sup>2,9</sup>



Fig 4. Mean municipal under-five mortality rates (per 1,000 live births) under different economic crisis and policy response scenarios for 2010–2030. BFP, Bolsa Familia Programme; ESF, Estratégia Suáde da Família.

### Integrating Retrospective Impact Evaluations with Dynamic Microsimulation II



BMJ 2014;348:g4014 doi: 10.1136/bmj.g4014 (Published 3 July 2014)

Rasella et al. BMC Medicine (2019) 17:82 https://doi.org/10.1186/s12916-019-1316-7

### Impact of primary health care on mortality from heart and cerebrovascular diseases in Brazil: a nationwide analysis of longitudinal data

Davide Rasella *postdoctoral researcher*<sup>1</sup>, Michael O Harhay *PhD student*<sup>3</sup>, Marina L Pamponet *researcher*<sup>1</sup>, Rosana Aquino *associate professor*<sup>12</sup>, Mauricio L Barreto *professor*<sup>12</sup>

#### GLOBAL HEALTH

By Thomas Hone, Davide Rasella, Mauricio Barreto, Rifat Atun, Azeem Majeed, and Christopher Millett

### Large Reductions In Amenable Mortality Associated With Brazil's Primary Care Expansion And Strong Health Governance



#### **RESEARCH ARTICLE**

Mortality associated with alternative primary healthcare policies: a nationwide microsimulation modelling study in Brazil

Davide Rasella<sup>1,2\*</sup><sup>(1)</sup>, Thomas Hone<sup>2</sup>, Luis Eugenio de Souza<sup>1</sup>, Renato Tasca<sup>3</sup>, Sanjay Basu<sup>4,5,7</sup> and Christopher Millett<sup>2,6</sup>



#### **Open Access**

BMC Medicine



### Integrating Retrospective and Prospective with Multiple Interventions

The Comprehensive Health Impact of Cash Transfers, Social Pensions and Primary Care in Brazil: An Integrated Evaluation and Forecasting Analysis to Mitigate the Effects of the COVID19-Related Economic Crisis

29 Pages · Posted: 10 Jun 2022

Figure 1: Scenarios of poverty, social welfare policies, and overall mortality predictions, 2020-2030





#### Davide Rasella

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Table 4: Rate Ratios and avoidable deaths from the comparison of forecast scenario of mitigation, baseline and austerity scenarios from 2020 to 2030.

		Mortality			
	Μ	itigation vs Baseline	Mitigation vs Austerity		
Year	Rate Ratio (Number)	[95% Prediction Intervals]	Rate Ratio (Number)	[95% Prediction Intervals]	
Overall mortality					
2020	0.977	[0.970 - 0.984]	0.974	[0.967-0.988]	
2025	0.950	[0.937 - 0.962]	0.921	[0.904 - 0.935]	
2030	0.934	[0.916 - 0.951]	0.878	[0.859 - 0.905]	
Avoidable deaths	(778,845)	[665,074- 893,674]	(1,424,624)	[1,264,552 - 1,587,859]	
Child mortality					
2020	0.906	[0.888 - 0.935]	0.893	[0.867 - 0.927]	
2025	0.803	[0.759 - 0.851]	0.693	[0.635 - 0.733]	
2030	0.729	[0.671 - 0.791]	0.632	[0.573 - 0.698]	
Avoidable deaths	(128,079)	[107,614 - 148,896]	(205,399)	[175,043 - 236,251]	

Figure 1: Mechanisms linking the Social Pension Program, Bolsa Familia Program and the Family Health Program to health outcomes.



ProBraS razilian Platform

LAR

Medical Research Council

# Integrating Retrospective and Prospective in Multi-country Studies

The Impact of Two Decades of Conditional Cash Transfers on Child Health in Latin America: A Multicounty Evaluation and Forecasting Analysis to Mitigate the Effects of the Global Economic Crisis

#### Daniella Cavalcanti

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These 3 countries concentrate more than 60% of beneficiary families and almost 80% of the budget of all LAC CCTs <u>Programme</u>.



BOLSA FAMÍLIA (2004-2021)/ AUXÍLIO BRASIL (2021-now) \* Start: 2004 (18 Years);

- Start: 2004 (18 Years)
- Health cond. (children): Under 7 Y.o.
   Budget USD 8 4 bit (0.45% CDD)
- Budget: USD 8.4 bi (0.45% GDP)
   Cash transference: 11 to 92 USD
- Beneficiary families: >13.2 mi
- Crude coverage: 25%



Medical

### **Integrating Retrospective and Prospective National-Level Compartmental Models for Infectious Diseases**

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Impact of the COVID19-related economic crisis and mitigation effects of social protection on HIV/AIDS and Tuberculosis: an integrated economic, mathematical and epidemiological modelling study

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**Fig. 2** Epidemiological scheme of the mathematical models. a. HIV/AIDS transmission model (1). b. Tuberculosis transmission model (2).



## **Developing Integrated "big data" Microsimulation Models**

### PLOS ONE

#### STUDY PROTOCOL

Evaluating the impact of social determinants, conditional cash transfers and primary health care on HIV/AIDS: Study protocol of a retrospective and forecasting approach based on the data integration with a cohort of 100 million Brazilians

Davide Rasella<sup>1,2,3</sup>\*, Gabriel Alves de Sampaio Morais<sup>1</sup>, Rodrigo Volmir Anderle<sup>1</sup>, Andréa Ferreira da Silva<sup>1</sup>, Iracema Lua<sup>1</sup>, Ronaldo Coelho<sup>4</sup>, Felipe Alves Rubio<sup>1</sup>, Laio Magno<sup>1,5</sup>, Daiane Machado<sup>3</sup>, Julia Pescarini<sup>3</sup>, Luis Eugênio Souza<sup>1</sup>, James Macinko<sup>6</sup>, Inês Dourado<sup>1</sup>

### PLOS ONE

#### STUDY PROTOCOL

The impact of social drivers, conditional cash transfers and their mechanisms on the mental health of the young; an integrated retrospective and forecasting approach using the 100 million Brazilian Cohort: A study protocol

Daiane Borges Machado (p<sup>1,2</sup>\*, Jacyra Azevedo Paiva de Araujo<sup>1</sup>, Flávia Jôse Oliveira Alves<sup>1</sup>, Luis Fernando Silva Castro-de-Araujo<sup>3,4</sup>, Elisângela da Silva Rodrigues<sup>1,5</sup>, Erika Fialho Morais Xavier<sup>1</sup>, Rodrigo Lins Rodrigues<sup>1,6</sup>, Davide Rasella<sup>1</sup>, John Naslund<sup>2</sup>, Vikram Patel<sup>2</sup>, Mauricio L. Barreto<sup>1</sup>



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R01MH128911

# **Future Expansions: Other Diseases**

- Tuberculosis: big longitudinal data and robust individual-level retrospective impact evaluations of cash transfers and primary health care already available.
- Other Poverty-Related Infectious Diseases Malaria, Leprosy, Leptospirosis, among others: big longitudinal data already available
- Poverty-Related Non-Infectious Diseases and Health Conditions

**Difficult to get support by the main research funders**, focused more on biomedical/clinical studies than social determinants of health and social protection

### **Future Expansions: Sub-Saharan Africa**

 Working Package within EU-funded Project (Echilibrist): building spatial microsimulation to evaluate the effectiveness of alternative incorporation strategies of a Point of Care Test: temporal, geospatial, and targeted implementation strategies.



 With additional support, potentiality to develop integrated microsimulation models that include a social epidemiology perspective



In the current context of rising inequalities and poverty rates due to multiple global crises, we need to provide policy-makers with models, tools, and scenarios to effectively protect the most vulnerable individuals.

The integration of retrospective health impact evaluations with microsimulation models allow to produce more reliable country and subpopulation-specific scenarios, and to evaluate the impact of interventions' alternative implementations, including the targeting of most vulnerable groups.

# Thanks!

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